

217771US-0 PCT

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on  
7/23/02IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF :  
YASUHIRO YONEDA ET AL : ATTN: APPLICATION DIVISION  
SERIAL NO: NEW U.S. APPLICATION :  
(Based on PCT/JP00/04571)  
FILED: HEREWITH :  
FOR: POLISHING LIQUID COMPOSITION :

PRELIMINARY AMENDMENT

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Prior to examination on the merits, please amend the above-identified application as follows:

IN THE CLAIMS

Please cancel Claim 8.

Please amend the claims as shown on the marked-up copy following this amendment to read as follows:

7. (Amended) The polishing liquid composition according to Claim 1, further comprising an oxidizing agent, an abrasive or a mixture thereof.

9. (Amended) A process for polishing a semiconductor substrate, comprising polishing a surface to be polished comprising an insulating layer and a metal layer using the polishing liquid composition of Claim 1, thereby smoothening the semiconductor substrate.

10/030424

J015 PCT/PTO 10 JAN 2002

Docket No. 217771US0PCT  
 IN RE APPLICATION OF: Yasuhiro YONEDA, et al.  
 SERIAL NO: NEW U.S. PCT APPLICATION BASED ON PCT/JP00/04571  
 FILED: HEREWITH  
 FOR: POLISHING LIQUID COMPOSITION

ASSISTANT COMMISSIONER FOR PATENTS  
 WASHINGTON, D.C. 20231

SIR:

Transmitted herewith is an amendment in the above-identified application.

- ☒ No additional fee is required
- ☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 is claimed.
- ☒ Additional documents filed herewith: Declaration/Notice of Priority/Information Disclosure Statement/PTO-1449  
 PCT Transmittal Letter/International Preliminary Examination Report  
 Preliminary Amendment/International Search Report/Check for \$890.00

The Fee has been calculated as shown below:

The Fee has been calculated as follows:

CLAIMS	CLAIMS REMAINING		HIGHEST NUMBER PREVIOUSLY PAID	NO. EXTRA CLAIMS	RATE	CALCULATIONS	
TOTAL	9	MINUS	20	0	× \$18 =	\$0.00	
INDEPENDENT	3	MINUS	3	0	× \$84 =	\$0.00	
		<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS	+ \$280 =			\$0.00	
		TOTAL OF ABOVE CALCULATIONS					\$0.00
		<input type="checkbox"/> Reduction by 50% for filing by Small Entity					\$0.00
		<input type="checkbox"/> Recordation of Assignment			+ \$40 =		\$0.00
		TOTAL					\$0.00

- ☐ A check in the amount of **\$0.00** is attached.
- ☒ Please charge any additional Fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.
- ☒ If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

OBLON, SPIVAK, McCLELLAND,  
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